Regulation I/4
Control procedures

1 Control exercised by a duly authorized officer under article 8 shall be limited to the following:

.1 verification that all fishing vessel personnel serving on board who are required to be certificated by this Convention are so certificated or hold the required dispensation. Such certificates shall be accepted unless there are clear grounds for believing that a certificate has been fraudulently obtained or that the holder of a certificate is not the person to whom that certificate was originally issued; and

.2 assessment of the ability of the fishing vessel personnel to maintain watchkeeping standards as required by the Convention if there are clear grounds for believing that such standards are not being maintained, because the following have occurred:

.1 the vessel has been involved in a collision, grounding or stranding; or

.2 there has been a discharge of substances from the vessel when under way, at anchor or at berth which is illegal under international conventions; or

.3 the vessel has been manoeuvred in an erratic or unsafe manner, whereby routeing measures adopted by the Organization, or safe navigation practices and procedures, have not been followed; or

.4 the vessel is otherwise being operated in such a manner as to pose a danger to persons, property or the environment.

2 In the event that deficiencies are found under paragraph 1, the officer carrying out the control shall forthwith inform, in writing, the skipper of the vessel and the Administration, so that appropriate action may be taken. Such notification shall specify the details of the deficiencies found and the grounds on which the Party determines that these deficiencies pose a danger to persons, property or the environment.

3 Deficiencies which may be deemed to pose a danger to persons, property or the environment include the following:

.1 failure of persons, required to hold a certificate, to have an appropriate certificate or dispensation;

.2 failure of navigational or engineering watch arrangements to conform to the requirements specified for the vessel by the Administration;

.3 absence in a watch of a person qualified to operate equipment essential to safe navigation, safety radio communications or the prevention of pollution; or

.4 inability to provide rested persons for the first watch at the commencement of a voyage, and for subsequent relieving watches.

Regulation I/5
Communication of information

1 The Secretary-General shall, on request, provide Parties with any information communicated under article 4.

2 A Party which fails to communicate information required by article 4 within 24 months after the date of entry into force of the Convention for a Party shall not be entitled to claim the privileges of this Convention until such time as the information has been received by the Secretary-General.

Regulation I/6
Administration of certification arrangements

1 Each Party undertakes to establish and maintain a means of ensuring that programmes incorporating such instruction and practical training as is necessary to achieve the competency standards are regularly monitored to ensure their effectiveness.

2 Each Party undertakes, to the extent practicable, to maintain a register or registers of all certificates and endorsements specified in regulations I/3 and II/1 to II/6 which are issued, have expired, or have been revalidated, reported lost, suspended or cancelled, and of dispensations issued, and provide information on the status of such certificates, endorsements and dispensations when so requested by another Party.

Regulation I/7
Recognition of certificates

1 Each Administration shall ensure, in order to recognize, by endorsement in accordance with regulation I/3, a certificate issued by or under the authority of another Party, that the requirements for standards of competence, as well as the issue and endorsement of certificates by that Party, are fully complied with.

2 Certificates issued by or under the authority of a non-Party shall not be recognized.

3 Notwithstanding the requirement of paragraph 1 of this regulation and paragraph 5 of regulation I/3, an Administration may, if circumstances require, allow a person to serve for a period not exceeding three months on board a vessel entitled to fly its flag while holding an appropriate and valid certificate issued by another Party without it being endorsed as required by paragraph 5 of regulation I/3 provided that documented proof is made available that application for an endorsement has been submitted to the Administration.

Regulation I/8
Transitional provisions

1 A certificate of competency or of service in a capacity for which this Convention requires a certificate and which before entry into force of the Convention for a Party is issued in accordance with the laws of that Party or the Radio Regulations shall be recognized as valid for service after entry into force of the Convention for that Party.

2 After the entry into force of the Convention for a Party, it may continue to issue certificates of competency in accordance with its previous practices for a period not exceeding five years. Such certificates shall be recognized as valid for the purpose of the Convention. During this transitional period, such certificates shall be issued only to persons who had commenced their sea service before entry into force of the Convention for that Party within the specific vessel department to which those certificates relate. The Party shall ensure that all other candidates for certification shall be examined and certificated in accordance with the Convention.